

Attorney Docket No. AVERP2822USA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Applicant: Sandt et al.
Express Mail: ER054908329US
Filed: August 7, 2003
For: LIVESTOCK SECURITY TAG ASSEMBLY
Art Unit: Not yet known
Examiner: Not yet known

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1345

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, pending applications, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120:

Serial No.: 09/758,086 _____
Filing Date: 01/10/01 _____

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

- (a) ☐ Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
- (b) ☐ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".

3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):

- (a) ☒ Within 3 months of the filing date, date of entry into the National Stage, or filing date of CPA.
- (b) ☐ Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 18-0988 for consideration of this Statement.
- (c) ☐ Before the mailing date of a first Office Action on the merits after a first or second submission after final rejection under 37 C.F.R. 1.129(a).

(d) ___ After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.

(1) ___ The required certification is given below, or

(2) ___ Enclosed is a check covering the fee of \$180.00 set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or

(3) ___ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 18-0988

(e) ___ After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.

(1) ___ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p), or

(2) ___ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 18-0988.

4. Certification (if applicable)

(a) ___ The undersigned hereby certifies that each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.

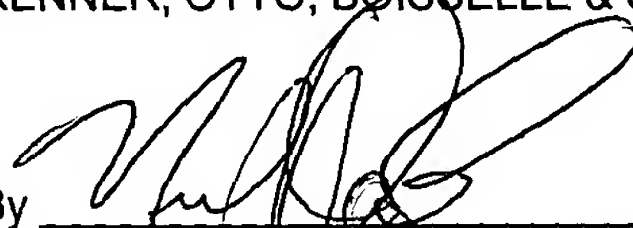
(b) ___ The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

5. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 18-0988.

Respectfully Submitted,

RENNER, OTTO, BOISSELLE & SKLAR, LLP

By



Neil A. DuChesne
Reg. No. 26,725

1621 Euclid Avenue, 19th Floor
Cleveland, Ohio 44115
(216) 621-1113

Form PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Atty Docket No.	Express Mail
	AVERP2822USA	ER054908329US
	Applicant: Sandt	
	Filing Date	Group
	August 7, 2003	

U.S. PATENT DOCUMENTS

Exami ner Initial	Document Number	Date	Name	Class	Sub-class	Filing Date if Appropriate
	2,862,832	12/02/58	Shepherd, Jr.	117	3.2	
	2,882,599	04/21/59	Martin	30	294	
	2,990,311	06/27/61	Shepherd, Jr.	154	95	
	3,043,732	07/10/62	Shepherd, Jr.	156	230	
	3,108,850	10/29/63	Brandt	18	55	
	3,207,822	09/21/65	Makowski	264	94	
	3,231,419	01/25/66	Korpman	117	122	
	3,239,478	03/08/66	Harlan, Jr.	260	27	
	3,380,868	04/30/68	Moser	156	229	
	3,484,976	12/23/69	Shea	40	310	
	3,503,826	03/31/70	Nasica	156	245	
	3,616,015	10/27/71	Kingston	156	230	
	3,625,752	12/07/71	Korpman	117	122	
	3,676,202	07/11/72	Korpman	117	122	
	3,723,170	03/27/73	Korpman	117	122	
	3,733,002	05/15/73	Fujio	215	12	
	3,758,661	09/11/73	Yamamoto	264	230	
	3,783,072	01/01/74	Korpman	156	244	
	3,932,328	01/13/76	Korpman	260	27	
	3,940,001	02/24/76	Haefner et al.	215	1	
	4,028,292	06/07/77	Korpman	260	27	
	4,081,309	03/28/78	Jenkins	156	250	

Form PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Atty Docket No.	Express Mail
	AVERP2822USA	ER054908329US
	Applicant: Sandt	
	Filing Date	Group
	August 7, 2003	

Exami ner Initial	Document Number	Date	Name	Class	Sub-class	Filing Date if Appropriate
	4,137,081	01/30/79	Pohl	96	87	
	4,174,218	11/13/79	Pohl	430	306	
	4,175,460	11/27/79	McPhail	83	856	
	4,228,232	10/14/80	Rousseau	430	271	
	4,271,531	06/02/81	Torii et al.	455	182	
	4,355,967	10/26/82	Hellmer	425	503	
	4,359,314	11/16/82	Hellmer	425	503	
	4,377,616	03/22/83	Ashcraft et al.	428	213	
	4,393,115	07/12/83	Yoshii et al.	428	323	
	4,398,985	08/16/83	Eagon	156	233	
	4,406,411	09/27/83	Gall et al.	428	577	
	4,456,934	06/26/84	Wedman et al.	360	78	
	4,479,770	10/30/84	Slat et al.	425	503	
	4,479,771	10/30/84	Slat et al.	425	503	
	5,495,944	03/05/96	Lermer	206	459.1	
	4,501,797	02/26/85	Super et al.	428	349	
	4,501,798	02/26/85	Koschak et al.	428	349	
	4,528,055	07/09/85	Hattermer	156	247	
	4,528,221	07/09/85	Komatsuzaki et al.	428	35	
	4,544,590	10/01/85	Egan	428	40	
	4,567,681	02/04/86	Fumei	40	310	
	4,582,752	04/15/86	Duncan	428	317.9	

Form PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Atty Docket No.	Express Mail
	AVERP2822USA	ER054908329US
	Applicant: Sandt	
	Filing Date	Group
	August 7, 2003	

Exami ner Initial	Document Number	Date	Name	Class	Sub-class	Filing Date if Appropriate
	4,590,020	05/20/86	Itaba et al.	264	22	
	4,599,253	07/08/86	Bree	428	40	
	4,601,926	07/22/86	Jabarin et al.	428	35	
	4,616,992	10/14/86	Oles	425	503	
	4,626,455	12/02/86	Karabedian	428	35	
	4,639,207	01/27/87	Slat et al.	425	503	
	4,704,323	11/03/87	Duncan et al.	428	286	
	4,705,714	11/10/87	Itaba, et al.	428	215	
	4,710,338	12/01/87	Bagnall et al.	264	509	
	4,713,273	12/15/87	Freedman	428	40	
	4,720,416	01/19/88	Duncan	428	195	
	4,721,638	01/26/88	Matsuguchi et al.	428	40	
	4,729,864	03/08/88	Chang et al.	264	509	
	4,737,098	04/12/88	Oles et al.	425	503	
	4,746,556	05/24/88	Matsuguchi et al.	428	40	
	4,758,396	07/19/88	Crass et al.	264	145	
	4,762,737	08/09/88	Lu	428	35	
	4,794,284	12/27/88	Buon	310	12	
	4,808,366	02/28/89	Kaminski et al.	264	509	
	4,824,912	12/25/89	Su	525	240	
	4,834,641	05/30/89	Keyser	425	503	
	4,837,075	06/06/89	Dudley	428	220	

Form PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Atty Docket No.	Express Mail
	AVERP2822USA	ER054908329US
	Applicant: Sandt	
	Filing Date	Group
	August 7, 2003	

Exami ner Initial	Document Number	Date	Name	Class	Sub-class	Filing Date if Appropriate
	4,837,088	06/06/89	Freedman	156	243	
	4,855,187	08/08/89	Osgood Jr et al.	428	516	
	4,863,772	09/05/89	Cross	428	40	
	4,870,122	09/26/89	Lu	524	458	
	4,872,707	10/10/89	deBruin	283	102	
	4,873,088	10/10/89	Mayhew et al.	424	450	
	4,883,697	11/28/89	Dornbusch et al.	428	35.7	
	4,886,698	12/12/89	Purdy	428	213	
	4,892,689	01/09/90	Van Cappellen et al.	264	25	
	4,892,779	01/09/90	Leatherman et al.	428	220	
	4,904,324	02/27/90	Heider	156	214	
	4,916,025	04/10/90	Lu	428	516	
	4,917,592	12/17/90	Pletzer et al.	425	503	
	4,925,714	05/15/90	Freedman	428	40	
	4,935,300	06/19/90	Parker et al.	428	352	
	4,946,532	08/07/90	Freeman	156	243	
	4,983,348	01/08/91	Barresi et al.	264	509	
	4,986,866	01/22/91	Ohba et al.	156	220	
	5,019,436	05/28/91	Schramer et al.	428	40	
	5,026,266	01/25/91	Takasaki et al.	425	150	
	5,026,592	06/25/91	Janocha et al.	428	204	
	5,032,344	07/16/91	Kaminski	264	509	

Form PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Atty Docket No.	Express Mail
	AVERP2822USA	ER054908329US
	Applicant: Sandt	
	Filing Date	Group
	August 7, 2003	

Exami ner Initial	Document Number	Date	Name	Class	Sub-class	Filing Date if Appropriate
	5,042,842	08/27/91	Green et al.	283	101	
	5,073,435	12/17/91	Eyraud et al.	428	215	
	5,075,583	12/24/91	Sakagami et al.	310	12	
	5,076,977	12/31/91	Maier et al.	264	25	
	5,079,057	01/07/92	Heider	428	36.5	
	5,126,197	06/30/92	Schinkel et al.	428	349	
	5,152,946	10/06/92	Gillette	264	230	
	5,186,782	02/16/93	Freedman	156	244.11	
	5,223,315	06/29/93	Katsura et al.	428	36.92	
	5,223,346	06/29/93	Lu	428	516	
	5,242,650	09/07/93	Rackovan et al.	264	509	
	5,284,681	02/08/94	Shinonaga et al.	427	316	
	5,288,548	02/22/94	Weber	428	315.9	
	5,308,693	05/03/94	Ryle et al.	428	307.3	
	5,332,542	07/26/94	Yamanaka et al.	264	509	
	5,366,796	11/22/94	Murschall et al.	428	216	
	5,405,667	04/11/95	Heider	428	36.5	
	5,424,650	06/13/95	Frick	324	688	
	5,435,963	07/25/95	Rackovan et al.	264	509	
	5,475,075	12/12/95	Brant et al.	526	348.3	
	5,562,962	10/08/96	Tung	428	200	
	5,587,214	12/24/96	Mitchell, Jr.	428	40.1	

Form PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Atty Docket No.	Express Mail
	AVERP2822USA	ER054908329US
	Applicant: Sandt	
	Filing Date	Group
	August 7, 2003	

Exami ner Initial	Document Number	Date	Name	Class	Sub-class	Filing Date if Appropriate
	5,604,006	02/18/97	Ponchaud et al.	428	67	
	5,614,146	03/25/97	Nakamura et al.	264	511	
	5,711,839	01/27/98	Dronzek, Jr.	156	277	
	5,725,962	05/10/98	Bader et al.	428	515	
	5,876,816	03/02/99	Freedman	428	40.1	
	6,001,208	12/14/99	Kinoshita et al.	156	245	
	6,004,682	12/21/99	Rackovan et al.	428	518	
	6,146,744	11/14/00	Freedman	428	213	
	6,150,013	11/21/00	Balaji et al.	428	220	
	6,294,236 B1	09/25/01	Freedman	428	40.1	
	2002/0050319 A1	05/02/02	Nishizawa et al.	156	245	
	2001/0028952 A1	10/11/01	Nishizawa et al.	428	336	
	Des. 269,154	05/31/83	Freedman et al.	D8	98	
	4,426,422	01/17/84	Daniels	428	352	
	4,704,310	11/03/87	Tighe et al.	427	261	
	4,721,531	01/26/88	Wildeman	106	309	
	5,284,688	02/08/94	Hiatt	428	40	
	5,733,615	03/31/98	Rackovan et al.	428	35.7	
	6,228,486	05/08/01	Kittel et al.	428	354	
	5,104,719	04/14/92	Kamen et al.	428	195	
	5,595,810	01/21/97	Beinert et al.	428	156	
	3,959,908	07/01/76	Lowe	40	301	

Form PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Atty Docket No.	Express Mail
	AVERP2822USA	ER054908329US
	Applicant: Sandt	
	Filing Date	Group
	August 7, 2003	

Exami ner Initial	Document Number	Date	Name	Class	Sub-class	Filing Date if Appropriate
	4,953,313	09/04/90	Scott	40	301	
	5,725,261	03/10/98	Rahn	292	307R	
	2002/0127361	09/12/02	Sandt et al.	428	40.1	
	6,461,722	10-2002	Kittel et al.	428	195	

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Sub- class	Translation	
						Yes	No
	2-217223	30/08/90	JP			Partial	
	2,012,357	11/16/99	CA				
	2,044,079	03/15/92	CA				
	2 201 681 A	07/09/88	GB				
	93/04842	03/18/93	WO				
	0020199	13/04/00	WO				

OTHER ART

	Author, Title, Date, Pertinent Pages, etc.
	U.S. Patent Application Serial No. 09/167,087.
	U.S. Patent Application Serial No. 09/758,092